

Piper Jaffray Community Sustainability 2011 Funding Guidelines (as of December, 2010)

Mission

Piper Jaffray works to build vibrant and sustainable communities. Through leadership and partnership, we will make a positive impact.

Focus

Community Sustainability: We believe that there are multiple systems that make up and enhance the quality of life in a sustainable community.

- We are dedicated to the idea that community investment & education build strong economies.
- We believe arts and culture are crucial for vibrant community life.

Funding Guidelines

Impact Areas:

- Programs will have special emphasis on future generations and/or those at-risk.
- Programs must be innovative, collaborative and implemented through organizations that support a significant component of the local population in our key markets of business and employee presence.

1. Organizations and programs that support community sustainability through community investment.

Specifically, community investment in neighborhood-based, small business programs in low-income areas that promote sustainable employment and replicable success.

2. Education initiatives that directly support the economic health of individuals and communities through the following:

- a) Science, math, engineering or technology (STEM) programs for diverse student groups.
- b) Economic education emphasizing economics and emerging industries [*excludes* “personal finance” and “money management” education].
- c) Access-to-higher education programs for disadvantaged youth.

3. Major arts and cultural organizations that enhance the quality of life in the community.

Specifically, we choose to support arts and cultural organizations through sponsorship. Please see sponsorship funding guidelines for more details.

Other Priorities:

- We are committed to our communities’ health and environmental sustainability and as such, provide support for a) innovative programs to develop and track new advances in children’s chronic health concerns and b) umbrella programs which support innovation and entrepreneurship to leverage environmental opportunities. *Note: We do not accept unsolicited requests in these areas.*
- An engaged citizenry is a productive one. Specifically, we fund initiatives that encourage civic engagement of young people.

We will not consider:

- Organizations that are not tax-exempt under Internal Revenue Code section 501(c)(3) or the equivalent
- Organizations that do not serve communities where Piper Jaffray has a business presence
- Individuals or groups seeking support for planning, personal needs or travel
- Public service or political campaigns
- Lobbying, political or fraternal activities
- Organizations receiving primary funding from United Way
- Athletic or pageant scholarships
- Religious activities or religious purposes
- Publications, audio-visual

Grant Application Procedures and Requirements

All requests should begin at www.piperjaffray.com via our web-based inquiry tool to determine if an organization qualifies for funding. Outside of our online inquiry process, we accept only solicited requests.

The 2011 application cycle is January 17 through March 18, followed by a review period, with the actual notification and funding of proposals occurring by the end of June.

Piper Jaffray will provide support for a limited number of programs or projects that serve our communities and fall within our guidelines.

We will consider multi-year grants for up to three consecutive years.

Support for a limited number of capital campaigns will be provided proactively through an invitation only process. Unsolicited capital requests will not be considered.

Priority will be given to organizations that meet our guidelines and involve our employees.